

# COMMON APPLICATION FORM

Investment Advisor's Name & ARN	Sub-Broker's Name & ARN Sub-Broker \ LG Code	Official Acceptance Point	Bank Sr. No.
Amit Kumar Goyal		LG	
ARN-15095		Stamp & Sign	

Appl. CA

Date : DD / MM / YYYY

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

## 1. EXISTING UNITHOLDER INFORMATION

[Refer Guideline 2(a)]

If you have, at any time, invested in any Scheme of Kotak Mahindra Mutual Fund and wish to hold your present investment in the same Account, please furnish your Name, Folio Number and PAN details below and proceed to Section 4.

Name of Sole / First Holder:  Folio No.:  /

## 2. NEW APPLICANT'S PERSONAL INFORMATION

[Refer Guideline 2]

### SOLE/FIRST APPLICANT

Name

Date of Birth  
DD / MM / YYYY

### GUARDIAN (in case Sole / First Applicant is a minor)

Name

### CONTACT PERSON (in case of Non-individual applicants)

Name Designation

### SECOND APPLICANT (Joint Holder 1)

Name

### THIRD APPLICANT (Joint Holder 2)

Name

### MODE OF OPERATION (where there is more than one applicant)

First Holder only  Anyone or Survivor  Joint

### PAN AND KYC COMPLIANCE STATUS DETAILS (Mandatory)

PAN	PAN	PAN
Sole / First Applicant	Second Applicant	Third Applicant
KYC Compliance Status*	KYC Compliance Status*	KYC Compliance Status*
<input type="checkbox"/> PAN Proof # <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> PAN Proof # <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> PAN Proof # <input type="checkbox"/> Yes <input type="checkbox"/> No

(#Please attach PAN Card Copy) / (\*KYC allotment letter copy is mandatory for investment => Rs. 50,000/-)

### Status (Please ✓)

- Resident Individual
- NRI on Repatriation Basis
- NRI on Non-Repatriation Basis
- HUF
- Proprietorship
- Partnership Firm
- Private Limited Company
- Public Limited Company
- Mutual Fund
- Mutual Fund FOF Scheme
- Body Corporate
- Registered Society
- PF/Gratuity/Pension/ Superannuation Fund
- Trust AOP / BOI
- Foreign Institutional Investor
- On behalf of Minor
- Other \_\_\_\_\_ (Please specify)

### Occupation (Please ✓) (Mandatory)

- Business
- Service
- Professional
- Retired
- Housewife
- Student
- Agricultur
- Other \_\_\_\_\_ (Please specify)

### ADDRESS FOR COMMUNICATION (Mandatory)

City Pin Code State (Cell) E-mail Tel. (Fax)

### OVERSEAS ADDRESS (Mandatory for Non-Resident applicants)

Address for Correspondence (Please ✓)  Indian  Overseas

City Zip Code State Country Nationality

(To be filled by Applicant)

## ACKNOWLEDGEMENT SLIP

Received from \_\_\_\_\_ Appl. CA  
an application for allotment of units in the following scheme :

	Investment Details	Instrument Details	Amount
Scheme	<input type="text"/>	No. _____ Dated DD / MM / YYYY Rs. _____	
Plan	<input type="text"/>	Bank & Branch _____	
Option	<input type="text"/>		

Please retain this slip, duly acknowledged by the Official Collection Center till you receive your Account Statement

Official Acceptance  
Point Stamp & Sign



### 3. BANK ACCOUNT DETAILS (MANDATORY)

[Refer Guideline 3]

Name of Bank

Branch  City

Account No.

RTGS IFSC

NEFT IFSC

MICR Code

Account Type :  Current  Savings  NRO  NRE  FCNR  Others

This is the 9 digit No. next to your Cheque No.

#### DIRECT CREDIT

We offer a Direct Credit Facility currently with the following banks for paying out Dividend and Redemption Proceeds to you faster.

- ABN AMRO Bank
- HDFC Bank
- Kotak Mahindra Bank
- Axis Bank
- HSBC
- Standard Chartered Bank
- Citibank
- ICICI Bank
- Yes Bank
- Corporation Bank
- IDBI Bank
- Deutsche Bank
- IndusInd Bank

If your bank account is with any of these banks, or any other banks which may be added in future we will directly credit your dividend / redemption proceeds into the same. In case new banks are added the facility will be extended to those investor with that bank mandate.

If, however, you wish to receive a cheque payout, please tick the box alongside.

### 4. INVESTMENT DETAILS (Attach separate cheque for each Investment)

[Refer Guideline 4]

Sl. No.	Scheme Name / Frequency	Plan / Option / Sub-option	Amount Invested (Rs.)	Net Amount Paid (Rs.)	Payment Details	
					Cheque / DD No.	Bank and Branch
1		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="radio"/> P <input type="radio"/> R	Less DD Charges			
2		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="radio"/> P <input type="radio"/> R	Less DD Charges			
3		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="radio"/> P <input type="radio"/> R	Less DD Charges			

P= Payout R=Reinvestment

If you are an NRI Investor, please indicate source of funds for your investment (Please )

NRE  NRO  FCNR  Others

(Please specify)

### 5. NOMINATION DETAILS (to be filled in by Individual(s) applying Singly or Jointly)

[Refer Guideline 5]

I/We \_\_\_\_\_ and \_\_\_\_\_ do hereby nominate the undermentioned Nominee to receive the Units to my/our credit in Account No./Application No. \_\_\_\_\_ in the event of my/our death. I/we also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund / Trustee.

#### DETAILS OF NOMINEE

Name of Nominee	Address	Date Of Birth	% Share	Signature Of Nominee

#### DETAILS OF GUARDIAN (to be furnished in case Nominee is a minor)

Name of Guardian	Address	Tel. No.	Signature Of Guardian

### 6. E-MAIL COMMUNICATION

[Refer Guideline 6]

I / We would like to receive the following communication by E-Mail: [Please

Please furnish your Email ID :  Account Statement  Transaction Confirmation

Your E-mail ID here

By providing your Email ID, you accept to receive all the above communication through E-mail only.

### 7. DECLARATION AND SIGNATURES

[Refer Guideline 7]

I/We have read and understood the contents of the Statement of Additional Information/ Scheme Information Document of the respective scheme(s) of Kotak Mahindra Mutual Fund. I/We hereby apply for allotment / purchase of Units in the Scheme(s) indicated in Section 4 above and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We are authorised to make this investment in the abovementioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorise Kotak Mahindra Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my / our Investment Advisor and / or my bank(s) / Kotak Mahindra Mutual Fund's bank(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We confirm that the distributor has disclosed all commission (in the form of trail commission or any other mode) payable to the distributor for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

**Applicable to NRIs seeking repatriation of redemption proceeds:** I/We confirm that I am/ we are Non-Resident(s) of Indian Nationality / Origin and that I /We have remitted funds from abroad through approved banking channels or from funds in my/our NRE / FCNR Account.

SIGNATURE(S)

Sole / First Applicant	Second Applicant	Third Applicant

(To be signed by **All Applicants**)

Please tick if the investment is operated as POA / Guardian  POA  Guardian

PAN of POA Holder / Guardian

KOTAK MAHINDRA MUTUAL FUND  
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We are at your service on 1800-222-626 from 9.30 a.m. to 6.00 p.m. (Monday to Friday)