

APP No.:

**TRANSACTION SLIP**

Please use separate transaction slip for each scheme. This Form is for use of Existing Investors only. To be filled in CAPITAL LETTERS

**DISTRIBUTOR / BROKER INFORMATION**

|                                      |                             |
|--------------------------------------|-----------------------------|
| Name & Broker Code / ARN             | Sub Broker / Sub Agent Code |
| <b>Amit Kumar Goyal / ARN -15095</b> |                             |

Folio/Account No: \_\_\_\_\_  
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

**Investor Details**

Name of First / Sole applicant [Are you KYC Compliant Please (✓) Yes  or No  ] PAN No. \_\_\_\_\_  
 Name of Guardian (In case of Minor) [Are you KYC Compliant Please (✓) Yes  or No  ] PAN No. \_\_\_\_\_  
 Name of Second Applicant [Are you KYC Compliant Please (✓) Yes  or No  ] PAN No. \_\_\_\_\_  
 Name of Third Applicant [Are you KYC Compliant Please (✓) Yes  or No  ] PAN No. \_\_\_\_\_

**Additional Purchase**

Cheque/ DD No. \_\_\_\_\_ Cheque/ DD Date \_\_\_\_\_ DD Charge Rs. \_\_\_\_\_ Cheque/ DD Net Amount Rs. \_\_\_\_\_  
 Bank Name: \_\_\_\_\_ Branch: \_\_\_\_\_ City: \_\_\_\_\_  
 Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_

**Redemption**

Amount: Rs \_\_\_\_\_ or Units: \_\_\_\_\_ or  All Units  
 Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_

**Switch**

Amount: Rs \_\_\_\_\_ or Units: \_\_\_\_\_ or  All Units  
 From Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_  
 To Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_

**Change of Address** (With effect from February 1, 2008, Change of address request has to be submitted at POS of CVL using "KYC Details Changes" Form, If you are KYC compliant)

Add1 \_\_\_\_\_  
 Add2 \_\_\_\_\_ City \_\_\_\_\_  
 District \_\_\_\_\_ State \_\_\_\_\_ PIN\* \_\_\_\_\_  
 Tel. No. STD Code \_\_\_\_\_ Office \_\_\_\_\_ Residence \_\_\_\_\_ Fax \_\_\_\_\_ Mobile \_\_\_\_\_

**Email Communication**

E-mail \_\_\_\_\_ I N B L O C K L E T T E R S  
 Investors providing Email Id would mandatorily receive only E - Statement of Accounts in lieu of physical Statement of Accounts.

**Change of Bank Details** (Please attach Old & New Bank Proof as per Addendum No. 64)

Bank Account No: \_\_\_\_\_ A/c Type  SB  CA  NRE  NRO  FCNR  
 Bank Name: \_\_\_\_\_  
 Branch & Address: \_\_\_\_\_  
 City \_\_\_\_\_ PIN\* \_\_\_\_\_ 9 Digit MICR No. \_\_\_\_\_ M a n d a t o r y  
 IFSC/NEFT Code \_\_\_\_\_ Payable City: \_\_\_\_\_

**PAN & KYC Updation** (Please Tick)  Photocopy(ies) of PAN Card(s) Submitted herewith  Photocopy(ies) of KYC letter(s) enclosed herewith

**Disclaimer**

I/We would like to invest in Reliance \_\_\_\_\_ subject to terms of the Statement of Additional Information (SAI) and Scheme Information Document (SID) and subsequent amendments thereto. I/We have read, understood (before filling application form) and is/are bound to the details of the SAI and SID including details relating to various services including but not limited to ATM/ Debit Card. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I accept and agree to be bound by the said Terms and Conditions including those excluding/ limiting the Reliance Capital Asset Managements Limited (RCAM) liability. I understand that the RCAM may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. I agree RCAM can debit from my folio for the service charges as applicable from time to time. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. Applicable for NRI Investors: I confirm that I am resident of India. I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account.

SIGNATURE/S

Sole / 1<sup>st</sup> applicant/Guardian/ Authorised Signatory

2<sup>nd</sup> applicant

3<sup>rd</sup> applicant

**ACKNOWLEDGEMENT SLIP (To be filled by the investor)**

Folio/Account No: \_\_\_\_\_

Received from Mr./Mrs. \_\_\_\_\_  
 Additional Purchase  Redemption  Switch  Change of Address  Change of Bank Account  PAN & KYC Updation  Email Communication

## INSTRUCTIONS

1. Please read the Scheme Information Document (SID) and Statement of Additional Information (SAI) carefully before investing / switching to other schemes for risk factors & terms applicable to Schemes / Plans.

2. For additional purchase, the cheque / Demand Draft should be drawn in favour of the name of the scheme (e.g. Reliance Equity Fund) and crossed A/c Payee and payable locally at the place of the ISC, where the application is being submitted. Outstation cheque / DD will not be accepted. **If the Scheme name on the application form and on the cheque is different, then the units will be allotted as per the Scheme name mentioned in the application form.**

### **PAYMENT BY CASH IS NOT PERMITTED.**

3. In case of multiple holders, the dividend (where applicable) & redemption amount, will be paid to the first units holder.

### **BANK DETAILS:**

As per the SEBI guidelines, it is mandatory for investors to mention their bank account details in the application form. In the absence of the bank details the application form will be rejected. Wherever possible / availability of electronic credit service, RMF will give instruction to the investor's bank for direct / electronic credit for dividend / redemption payments and such instructions will be adequate discharge of RMF towards the said payment. In case the credit is not affected by the unitholder's banker for any reason RMF reserves the right to make the payment by a cheque / DD, in case it is not possible to make the payment through electronic credit. If the electronic credit is delayed or not affected or credited to a wrong account, on account of incomplete or incorrect information, RMF will not be held responsible. Please provide the MICR Code/IFSC code on the right bottom of your Cheque for us to help you in future for ECS/NEFT credit of dividend and redemption payout.

5. **DIRECT CREDIT OF REDEMPTION / DIVIDEND PROCEEDS / REFUND - IF ANY** RMF will endeavour to provide payment of Dividend / Redemption / Refund (If any) through ECS, NEFT, Cheque, Demand Draft or Direct Credit into investors bank account wherever possible.

### **Permanent Account Number (PAN)**

As per SEBI circular number MRD/DoP/Cir- 05/2007 dated April 27, 2007, PAN shall be the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction w.e.f. July 2, 2007.

Accordingly, it is mandatory for investor's to provide their PAN alongwith a self attested copy of PAN card.

If the investment is being made on behalf of a minor, the PAN of the minor or father or mother or the guardian, who represents the minor, should be provided.

Applications received without PAN/PAN card copy will be rejected.

Note: Pursuant to SEBI letter dated June 19, 2009 addressed to AMFI, and in compliance with AMFI Guidelines dated July 14, 2009, investment in Micro Schemes such as Systematic Investment Plan (SIP) where aggregate of installments in a rolling 12 months period or in a financial year i.e. April to March does not exceed Rs 50,000 per year per investor ( hereinafter referred as "Micro SIP"), will be exempted from the requirement of Permanent Account Number (PAN) with effect from August 01, 2009.

**Investors may please note that this transaction slip is not applicable for investments in Micro SIP.**

7. Prevention of Money Laundering & Know Your Customer (KYC): W.e.f. 01st Feb 2008 it is mandatory for all applications for subscription of value of Rs.50,000/- above to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application for subscription and attach proof of KYC Compliance viz. KYC Acknowledgement Letter (or the erstwhile Mutual Fund

Identification Number\* (MIN) Allotment Letter). The KYC Status will be validated with the records of the Central Agency before allotting the units. Reliance Mutual Fund will not be held responsible and /or liable for rejection of KYC Form, if any, by the Central Agency. Applications for subscriptions of Value of Rs. 50,000/- and above without a valid KYC

compliance may be rejected. Provided further, where it is not possible to verify the KYC Compliance status of the investor at the time of allotment of units, the Trustee/AMC shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, the Trustee/AMC reserves the right to freeze the folio of the investor(s) and affect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any. All investors (both individual and non-individual) can apply for KYC compliance. However, applications should note that minors cannot apply for KYC compliance and any investment in the name of minors should be through a Guardian, who should be KYC compliant for the purpose of investing with a Mutual Fund. Also applicants/ unit holders intending, to apply for units currently holding units and operating their Mutual Fund folios through a Power of Attorney (PoA) must ensure that the issue of PoA and the holder of the PoA must mention their KYC compliance status at the time of investment, if such investment(s) are above the prescribed threshold limit. PoA holders are not permitted to apply for KYC compliance on behalf of the issuer of the PoA, Separate procedures are prescribed for change in name, address, and other KYC related details, should the applicant desire to change such information, POS will extend the services of effecting such changes.\* Valid only where investors who have already obtained the erstwhile Mutual Fund Identification Number (MIN) by submitting the PAN copy as the proof of identity. For details on "Prevention of Money Laundering & Know Your Customer" please refer to SAI and SID.

### **8. Communication for the investors.**

If the investor(s) has/have provided his/their email address in the application form or any subsequent communication in any of the folio belonging to the investor(s), RMF / Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s).

The investor(s) may request for a physical account statement by writing or calling RMF's Investor Service Center/ Registrar & Transfer Agent. In case of specific request received from the investor(s), RMF shall endeavor to provide the account statement to the investor(s) within 5 working days from the receipt of such request. RMF shall comply with SEBI Circular No. IMD/CIR/12/80083/2006 dated November 20, 2006 with respect to dispatch of the account statement.

9. The Fund may close an investor's account and the units will be redeemed at applicable NAV, if the balance falls below the minimum prescribed limits as per SAI and SID or if the Plan fails to fulfill SEBI Regulations viz., Each Scheme / Plan should have a minimum of 20 investors and no single investor should account for more than 25% of the corpus of such Scheme / Plan. All further communication in connection with these units should be addressed to the Registrar, quoting scheme name and Folio / Account Number at the following address.

Karvy Computershare Pvt. Ltd., Municipal No 1-9/13/C, Plot No. 13 & 13 C, Survey No.74 & 75, Madhapur Village, Serlingampally Mandal & Municipality, R.R. District, Hyderabad, Andhra Pradesh 500 081.

Tel - 040-44338100 Fax: 040-23394828 Website:

www.reliancemutual.com,

E-mail: customer\_care@reliancemutual.com,

Call: Toll free 1800-300-11111 or 30301111

\* Prefix the STD code of your city, if you are calling from a Mobile Phone.

\* If you are calling from a MTNL or BSNL phone lines, Please dial 022-30301111

### **ACKNOWLEDGMENT SLIP (To be filled in by the Applicant)**

11th floor & 12th floor, One Indiabulls Centre, Tower 1, Jupiter Mills Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013.

**Tollfree: 1800-300-11111 | www.reliancemutual.com**

**RELIANCE Mutual Fund**  
Anil Dhirubhai Ambani Group